#### INSTRUCTIONS FOR BROKER EXPERIENCE CERTIFICATE APPLICATION

Access this form via website at: www.hawaii.gov/hirec

**READ THESE INSTRUCTIONS CAREFULLY.** Your application will not be considered if it is incomplete. These instructions include the following sections:

- 1. General Requirements for a Broker's License
- 2. Experience Requirement
- 3. Requirements for Equivalency for Experience in Another State
- 4. Instructions for Completing the Application
- 5. Instructions for Completing the Experience Certification Statement
- 6. Experience Certificate, Expiration Date, Duplicate
- 7. Oral Testimony for Equivalency Requests
- 8. Problems Securing an Experience Certification Statement
- 9. Making False Statement a Misdemeanor

#### 1. General Requirements for a Broker's License

An applicant for a real estate broker's license must satisfy ALL of the following:

- Be a United States citizen, a United States national, or an alien authorized to work in the United States, and of the age of majority;
- Possess a reputation for or record of competency, honesty, truthfulness, financial integrity, and fair dealing;\*
- Fulfill the experience requirements (see section 2 below) and have a current approved "Experience Certificate" (issued upon approval of this application);
- Prior to taking the broker's licensure examination, fulfill the prelicense education requirements for a broker and have a current approved Hawaii "School Completion Certificate" or a "Prelicensing Education Equivalency Certificate";
- Pass the Hawaii real estate broker's licensure examination; and
- Submit a complete application for a real estate broker's license within two (2) years of passing the examination.
- Hold a current, unencumbered Hawaii real estate salesperson license, or a current, unencumbered real estate salesperson or broker license in another state or jurisdiction recognized by the Association of Real Estate License Law Officials (ARELLO), with an equivalent real estate licensing law as determined by the Real Estate Commission.
- \* An applicant who has a background that may not meet this requirement should consider a preliminary decision by the Commission before committing to prelicensing education and the real estate examination. The decision will give the applicant a non-binding opinion as to successful or unsuccessful licensure in the future. Call (808) 586-2643 for more information.

#### 2. Experience Requirement

Broker applicants must have experience as a <u>full-time</u> (averaging at least forty hours per week and no prorata credit given for part-time real estate salesperson activity) Hawaii-licensed real estate salesperson associated with a Hawaii-licensed real estate broker for <u>at least three</u> (3) years of the five-year period immediately prior to the <u>submission</u> of the <u>broker experience certificate application</u>, and have practical real estate salesperson experience. No credit is given for periods during which the applicant's license was inactive, forfeited, suspended, revoked, or terminated. Applicant's principal broker or broker in charge must certify the employment period, and the number of weeks the applicant devoted <u>at least 40 hours per week</u> to real estate license activity.

Equivalency for the experience requirement may be met by possessing a current, unencumbered real estate broker's or salesperson's license in another state or jurisdiction recognized by the Association of Real Estate License Law Officials (ARELLO), with an equivalent real estate licensing law as determined by the Commission. Unencumbered means that there are no conditions or disciplinary action attached to the real estate broker's license at the time of processing of this application. See "Requirements for Equivalency for Experience in Another State" below.

#### 3. Requirements for Equivalency for Experience in Another State

If the applicant possess a current, unencumbered real estate broker's or salesperson's license in another state, the Commission may approve an equivalency to ALL or PART of the three (3) years of the five-year period under one of the following categories:

a. Possess a **current, unencumbered real estate broker's license** in another state or jurisdiction recognized by the ARELLO at the time of this application.

(Continued)

For this Equivalency you must submit all of the following:

- 1. Application for Experience Certificate Real Estate Broker (Form REC-02)
- 2. Verification of License Real Estate (Form REC-14. Must be completed and issued by the out-of-state agency not more than six months ago.)
- 3. \$50 non-refundable application fee
- b. Possess a current, unencumbered real estate salesperson's license in another state or jurisdiction recognized by the ARELLO and have worked three years out of the five-year period immediately prior to the submission of the application full-time in another state(s) as a licensed real estate salesperson at the time of this application. Full time means the applicant devoted at least forty hours per week to real estate activity.

For this Equivalency you must submit all of the following:

- 1. Application for Experience Certificate Real Estate Broker (Form REC-02)
- 2. Verification of License Real Estate (Form REC-14. Must be completed and issued by the out-of-state agency not more than six months ago.)
- 3. Experience Certification Statement (Form REC-17)
- 4. \$50 non-refundable application fee

## 4. Instructions for Completing the Application

- a. Complete the application. Answer all questions and fill in all spaces using a typewriter or printing legibly in black ink. If not applicable, use "NA". Read and sign the applicant's certification.
- b. In the employment history section, provide the requested information for ALL employers, including non-real estate related employment, for the past five years.
- Submit a NON-REFUNDABLE application fee of \$50. Make check payable to: COMMERCE AND CONSUMER AFFAIRS.

Note: If your check is returned unpaid by the financial institution, your broker experience certificate, if one is issued, shall be void as though it were never issued. In addition, any actions based on the void certificate, such as your test score or a broker's license issued to you, shall also be void. The Commission will consider the returned check in its deliberations on whether to approve or deny a real estate broker's license application. A \$15 service fee will be charged for checks that are returned by the financial institution.

d. <u>Submit</u> a COMPLETE application, including experience certification statements signed by your principal broker(s) or broker(s) in charge, supporting documents, and the \$50 non-refundable application fee to:

RECO Experience Certificate DCCA, PVLD Licensing Branch P.O. Box 3469 Honolulu, HI 96801 or deliver to: 335 Merchant Street, Room 301

Honolulu, HI 96813

e. Please allow 10-12 business days for processing. Applications are reviewed in the order they are received. Incomplete and deficient applications will be processed as time permits. Applicants will be notified of deficiencies by mail. If not corrected within the prescribed time, the Commission will terminate processing of the application, and the applicant will be required to submit a new application with the required application fee.

Denied applicants may reapply by submitting a new complete application with the required documents and the \$50 non-refundable application fee.

#### 5. Instructions for Completing the Experience Certification Statement

- a. The principal broker or broker in charge for each brokerage firm with which applicant was associated in the past five years and applicant's current principal broker or broker in charge must each complete an "Experience Certification Statement" (Certification). The principal broker or broker in charge at the time the applicant was associated with the brokerage firm, or the brokerage firm's current principal broker or broker in charge may complete the Certification.
  - i. Type or print the applicant's name at the top of the page.
  - ii. Provide current information on the Principal Broker or Broker in Charge in Part I.
  - iii In Part II
    - (1) Indicate the period of time covered by the Certification, and the name and license number of the brokerage firm at the time.

- (2) Provide the <u>number of weeks</u> that the applicant devoted <u>at least 40 hours per week to real estate license activity</u>, whether in or out of the office. Time spent on clerical and non-real estate duties should not be counted. No pro-rata credit given for part-time activity.
- b. Although the Certification is completed by the applicant's principal broker or broker in charge, both the applicant and the principal broker or broker in charge are responsible for its accuracy. Applicant will also certify, on the application form, that the information contained in the "Experience Certification Statement" is true and accurate and that applicant will provide verifying documents upon request by the Commission.

#### 6. Experience Certificate, Expiration Date, Duplicate

An experience certificate is valid for two (2) years from the date of issuance and will be mailed to successful applicants. The certificate must be presented at the examination site at the time the applicant takes the broker's licensure examination and after the applicant passes the examination, submitted with the application for real estate license. Applicants will not be allowed to take the examination after the expiration of the certificate. Applicants with expired certificates must apply for a new broker experience certificate and will be subject to the same requirements as a first-time applicant.

#### 7. Oral Testimony for Equivalency Requests

An applicant requesting an equivalency may present oral testimony at the meeting at which the application will be reviewed. The applicant must submit a written request. The request may be included with the written request for equivalency. The applicant will then be notified of the date, time, and place of the meeting. The Commission may limit oral testimony to a specified time period, but in no case shall the period be less than five minutes. If prepared testimony will be lengthy, it is suggested that the applicant summarize the testimony, emphasizing key points. The applicant may also submit written testimony. If so, submit 20 copies. The Commission will not allow any testimony which is irrelevant, immaterial, or unduly repetitious. Upon arriving at the meeting room, sign the "sign-in" sheet and identify yourself to one of the Commission's staff. You may want to consult the Commission's rules on oral testimony, Hawaii Administrative Rules, section 16-99-83.

#### 8. Problems Securing an Experience Certification Statement

If an applicant has difficulty obtaining a completed "Experience Certification Statement" from an associating broker, the following steps are suggested:

- a. Send a written request to the principal broker or broker in charge by certified mail with a return receipt. Enclose a blank Experience Certification Statement and request that the broker complete and return the form within 10 days of receipt. Keep a copy of the letter.
- b. If the broker does not return the Experience Certification Statement within the 10 days of receipt, submit the original certified mail return receipt, a copy of the letter sent, any returned mail, a short explanation of the chronology of events with your application, and copies of evidence to substantiate full-time real estate salesperson activity.

This will lengthen the time required to review your application.

#### 9. Making False Statement a Misdemeanor

Under §467-20, Hawaii Revised Statutes:

It shall be unlawful for any person or the person's agent to testify before or file with the commission, or the testing service agency designated by the commission, any notice, statement, or other document required under this chapter, that is false or untrue or contains any material misstatement of fact, or contains forgery. In addition to the sanctions or remedies as provided in this chapter, any violation of this section shall constitute a misdemeanor punishable pursuant to section 706-640 or 706-663, or both, and not pursuant to section 467-26.

APPLICATION FOR EXPERIENCE CERTIFICATE - REAL ESTATE BROKAccess this form via website at: www.hawaii.gov/hirec  READ THE ATTACHED INSTRUCTIONS!  Applicants are subject to requirements, forms, and fees in effect at time of filing.			KER	В	APPI DENI	ROVED IED	Initials/Date: Date Cert. Sent:		
Compete and submit with the \$50 non-refundable application fee.				USE					
Legal Name (First, Middle) (LAST)				OFFICE					
Mailing Address (Include apt. n	o., city, state & zip co	de)		FOR C					
Hawaii License No. RS- Phone (days): Social Security No.									
(see Instructions, "Red	n real estate broker's In broker's experience dawaii licensed salesponission of this application and application of the sapplication of the sappli	certificate in Hawaii?	rs of the five-y	year p	period escribed	I categories.		YES	NO NO NO
Provide the information request application. <b>Attach</b> a separate s	ted below for ALL en								_
Name of Employer/Busines	s	Address	Positio with Employer	this		Hrs Weekly	Employment Date	Termina Date	
1.									
2.									
3.									
4.									
5.								<u> </u>	
CERTIFICATION: I underst documents and fees in sufficient during a period that my real estable recognized.  I hereby certify that the indocuments upon request by the or disciplinary action.	at time to be reviewed ate salesperson's licer formation provided in	d and decided upon prior to nse was inactive (whether von this application and the o	registering fooluntary or invidend	or the column tache	broker'tary), fo	's examination of the second o	on. I understand the ended, revoked, or rrect, and that I wi	nat any expe terminated Il provide ve	erience will not erifying
Date:					;	Signature of	Applicant		
This material can be made availab call the Licensing Branch Manage							580 BCF		

REC-02 0905R

## **EXPERIENCE CERTIFICATION STATEMENT**

(To Be Completed By Principal Broker or Broker in Charge)

NΑ	NAME OF BROKER APPLICANT:			
1.	<ol> <li>Section 16-99-3(q), Hawaii Administrative Rules (HAR), requires a prin experience certification statement.</li> </ol>	ncipal broker or broker in charge to provide an accurate		
	Within ten days of receiving a written request, it shall be the resp of the brokerage firm to provide broker applicants formerly or presaccurate experience certification statement in the form provided that the broker applicant has been actively associated with Falsification of information contained in the certification shabroker's or brokerage firm's license and of the salespers falsification.	sently employed by or associated with them with an by the commission attesting to the length of time or employed full-time by the brokerage firm.  If the cause for revocation or suspension of the		
2.	<ol><li>This form is in two parts. In Part I, provide current information about the form. In Part II, provide information about the broker applicant during the re</li></ol>			
3.	<ol><li>Type or print all information in black ink. Fill in all blanks. Sign and return not be accepted.</li></ol>	n to the broker applicant. Incomplete or unsigned forms will		
PA	PART I. PRINCIPAL BROKER OR BROKER IN CHARGE COMPLETING TH	IS STATEMENT:		
Na	Name:	License No.: RB -		
Cu	Current Brokerage Firm:	Telephone No.:		
Ad	Address of Brokerage Firm:	License No.: RB -		
pe	PART II. PERIOD COVERED BY THIS STATEMENT. The applicant was assiperiod. I was the principal broker or broker in charge of the brokerage firm during charge of the brokerage firm and have records to verify the information provided	ng the period, or I am the current principal broker or broker in		
Pe	Period Covered: From (month/day/year):	To (month/day/year):		
Na	Name of Brokerage Firm:	License No.: RB		
	NUMBER OF WEEKS the applicant devoted 40 h activity, whether in or out of the office (do not count time spent on clerical or other counts).	nours per week to Hawaii real estate salesperson license er non-real estate duties.)		
	<b>Certification:</b> I certify that the information provided in this Experience Cer falsification of information, any material misstatement of fact, or forgery is groun			
Sig	Signature of Principal Broker or Broker in Charge Date Signature	gnature of Applicant Date		

## **VERIFICATION OF LICENSE -- REAL ESTATE**

PART I. TO BE COMPLETED BY A	APPLICANT						
INSTRUCTIONS: A. Applicant to complete B. Applicant to send this C. Upon receipt of compand submit to Licens to be submitted if app	s form to out-of-sta pleted form from the ing Branch. Please	te licensing a e out-of-state e note that o	agency, application and an application and application application and application application and application application and application application applica	ant to attach complete pleted Verification of L			
Applicant's Name (First, Middle)	(LAST)			Type of License held:			
				041 50050001	, ppo	/ED	
Mailing Address (Include apt. no. & zip code)				SALESPERSON	I BROK	KEK	
				License Number	Date Licens	od	
				License Number	Date Licens	eu	
PART II. TO BE COMPLETED BY	OUT-OF-STATE	LICENSIN	G AGENCY				
The above-named person is applying for a r to the above-named person.	eal estate exam in	Hawaii. Ple	ase supply the f	ollowing information, a	and mail this fo	orm ba	ack
Circle Type of License Has disciplinary act	ion ever been taken a	against the lice	ense?			YES	NO
Are there any pend SALESPERSON	ng disciplinary action	ns?				YES	NO
	tions currently placed	d on this licens	e?			YES	NO
If yes, please expla	n:						
Date Licensed Is the license curre	nt and active? (not for	rfeited, escrow	ed, or inactive)			YES	NO
If not active and cu	rent, date license exp	pired, forfeited	or placed inactive	:			
Expiration Date Who placed the lice	nse on inactive/expir	ed status?					
1a) Was there a pre-license educational rec	uirement at the time	applicant was	licensed?			YES	NO
b) If yes, what was requirement?	·						
<ul><li>2 Did the person named above fulfill the p</li><li>3a) Was the pre-license educational require</li></ul>							
Was the pre-license educational require     If yes, what portion of the pre-license educational require						TES	NO
Requirement:	•			Waived due to:			
		-					
		-					
4a) Did the applicant pass the uniform porti	on of the salesperson	n (or equivalen	t) examination in y	our state?		YES	NO
b) If yes, please provide the date:							
<ul><li>5a) Did the applicant pass the uniform porti</li><li>b) If yes, please provide the date:</li></ul>			mination in your st	ate?		YES	NO
b) II yes, please provide the date.							
Name of Out-of-state Agency		Signature			Date		
Address of Out-of-state Agency		Name & Titl	e				

LINDA LINGLE GOVERNOR

JAMES R. AIONA, JR. LIEUTENANT GOVERNOR



MARK E. RECKTENWALD

NOE NOE TOM
LICENSING ADMINISTRATOR

## STATE OF HAWAII REAL ESTATE BRANCH

PROFESSIONAL AND VOCATIONAL LICENSING DIVISION DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS KING KALAKAUA BUILDING

335 MERCHANT STREET, ROOM 333

HONOLULU, HAWAII 96813

http://www.hawaii.gov/hirec
hirec@dcca.hawaii.gov

May 1, 2006

### **MEMORANDUM**

TO: Candidates for Prelicensing Schools or Anyone Considering a Real

**Estate License** 

FROM: Real Estate Commission

**SUBJECT:** Persons with a Questionable Background Issue(s)

Do you have a questionable background issue? Questionable background issues include, but is not limited to, criminal convictions (felony, misdemeanor, or court martial), or any civil/administrative/government action, against you, including DUIs, drug/alcohol issues, abuse situations, tax liens, unpaid tax obligations, judgments, unpaid judgments, disciplinary action (for another licensing area or from another state or country), applicants currently serving parole or probation, violations of administrative laws/court orders/government sanctions, etc. If you have a questionable background issue, the Commission highly recommends you review the attached application. If your answer to any of the questions from 1.b) through 5 will be "yes", immediately contact the Real Estate Branch at the above address or at (808) 586-2643. Do not enroll in any Prelicensing Real Estate course until you secured advice on how to proceed and/or, if applicable, submit the attached "Request for Preliminary Decision" application.

Although a good number of applicants with questionable background issues have been granted licenses or conditional licenses, a good number of applicants with questionable background issues have been **denied licenses**. Denied applicants have spent monies for the prelicensing school, taking and passing the examination, and submitting an application, as well as expending a lot of time studying, attending classes, and taking the examination. Therefore, prior to expending monies and time on the process to eventually submit an application for a real estate license that may be denied, the applicant should submit a Request for Preliminary Decision Application to the Commission.

Upon receipt of a Request for Preliminary Decision application and prior to inclusion on the Commission's meeting agenda, the Real Estate Branch staff gathers all pertinent documentation from the applicant to support and explain any and all "yes" answer(s). The Commission then reviews each application on a case by case basis at its monthly meeting. The applicant is invited to appear at the Commission's meeting to answer questions the Commissioners may have, and/or to provide oral testimony. The Commission then decides whether they would most likely approve or most likely deny a real estate license application with the affected background issues. Although the Preliminary Decision is a non-binding decision and subject to further review upon receipt of the license application, the decision should provide the candidate with a fair indication as to how the Commission may vote on the actual license application.

Lastly, Hawaii Revised Statutes Section 467-20 states that any false statement provided by the applicant to the Commission is an unlawful act subject to administrative sanctions and constitutes a misdemeanor criminally punishable under the laws of the State of Hawaii. In the electronic age of the internet, open records law by federal, state, and county governments, various private services, and with governmental agencies sharing information, access to background information on individuals and entities are easier to obtain. Therefore, the worst act by an applicant for licensure would be to answer the questions in the application falsely or basically lie.

Please contact the Real Estate Branch at the above address or telephone (808) 586-2643, between 7:45 a.m. to 4:30 p.m. HST, Monday to Friday.

# REQUEST FOR PRELIMINARY DECISION: REAL ESTATE SALESPERSON OR BROKER

Access this form via website at: www.hawaii.gov/hirec

STATE OF HAWAII
DEPARTMENT OF COMMERCE & CONSUMER AFFAIRS
PROFESSIONAL & VOCATIONAL LICENSING DIVISION

Legal I	Name of Applicant (First, Middle)	:	(LAST) Fo	For Official Use Only		
Reside	ence Address (Include street add	ress, a	pt. no., city, state & zip code):			
Mailing Address, if different from above (Include apt. no., city, state & zip code):						
IVIAIIII	, radicos, il different from above	(IIICIU	ac upt. Ho., only, state a zip code).			
T	fliance December for	0:-1	O- with No.			
(check	f License Preparing for one):	Social	Security No.:			
	0.1	D . ('.	T. L. L. W.			
	Salesperson	Daytır	ne Telephone No.:			
_	Broker					
			Answer All Questions Below		Yes	No
1a) Have you ever applied for, been granted, or held a real estate license in Hawaii or any other state?						
	If yes, what state, license type, and license number?					
b)	b) Has an application for license or a real estate license ever been denied, suspended, fined, involuntarily terminated, revoked, or otherwise subject to disciplinary action?					
c)	c) Have any complaints or charges ever been filed against you, regardless of outcome, with the licensing agency of any state?					
d)	d) Have any charges of unlicensed activity ever been filed against you, regardless of outcome, with the licensing agency of any state?					
e)	Are there any pending disciplinary actions against you?					
2.	2. During the past 20 years have you ever been convicted of a crime where there has not been an order annulling or expunging the conviction?					
3.	Are there any pending lawsuits, unpaid judgments, outstanding tax obligations, or any other type of involuntary liens against you?					
4.	Are you LESS than 18 years of age?					
5.	Are you an alien WITHOUT authorization to work in the United States?					

Explain all "Yes" responses on a separate sheet with detailed information and supporting documents as described in the instructions.

## READ AND SIGN THE CERTIFICATION ON THE REVERSE SIDE

Appl	580	\$25
Service Fee	BCF	\$15

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I have read and understand §467-8, HRS. I understand that no license will be issued to any person who does not possess a reputation for or record of competency, honesty, truthfulness, financial integrity, and fair dealing.

I understand that this is NOT an application for license, this is a request for a preliminary decision to provide advisory guidance only and is not binding or subject to appeal. I understand that even if a preliminary decision is unfavorable to me, I may submit a complete license application and fees following successful completion of the prelicensing requirements. I understand that if a preliminary decision is favorable to me, I must still successfully complete the prelicensing requirements before applying for a license.

I understand that it is my responsibility to read the instructions documents and fees. I hereby certify that the statements and answers correct. I understand that any false or untrue statement or material misstarevocation of license and is a misdemeanor under Hawaii law. (§§467-20 a	on this application and accompanying documents are true and tement of fact shall constitute grounds for refusal or subsequen
Applicant's Signature	Date

This material can be made available for individuals with special needs. Please call the Licensing Branch Manager at (808) 586-3000 to submit your request.

#### **INSTRUCTIONS**

This is NOT an application for license. This is a request for a preliminary decision to provide advisory guidance only and is not binding or subject to appeal. You are NOT considered an applicant for licensure. A preliminary decision that is unfavorable to you does not prevent you from submitting a complete license application and fees following successful completion of the prelicensing requirements. If a preliminary decision is favorable to you, you must still successfully complete the prelicensing requirements before applying for a license. If your application for license is received within six months of the preliminary decision, the preliminary decision will be considered together with your application for license.

- 1. Read the information below.
- 2. Complete the application form, being sure to answer ALL guestions.
- 3. Attach supporting documents, as described below.
- 4. Submit the application form, supporting documents, and a \$25 nonrefundable fee to:

Mailing Address: DCCA, PVL, Licensing Branch Deliver to office location at: P.O. Box 3469 or 335 Merchant St., Room 301

Honolulu, HI 96801 Honolulu, HI 96813

5. All applications must be reviewed and decided upon by the Real Estate Commission. The Commission meets once each month. The Commission will not review incomplete applications. Therefore, submitting a request without all applicable information and documents as described below will delay processing. If you wish to present oral testimony at the meeting, submit a written request. A courtesy notice with information on the date, time, and location of the meeting will be sent to you.

#### **Instructions for "Yes" Answers**

- A. The following documentation must be provided with your application. The application will not be considered without this material.
  - 1a) If you have applied for, been granted, or held a real estate license in Hawaii or any other jurisdiction, <u>list</u> the jurisdiction, license type, and license number in the space provided. Attach a separate page if more space is required.
  - 1b), 1c), 1d), or 1e) These questions refer to complaints, charges of unlicensed activity, or pending disciplinary actions for any profession, occupation, or license, both real estate and those other than real estate. If your answer is "yes" to one or more of these questions, you must **submit** the following:
    - A statement signed by you explaining the circumstances;
    - ii. Copies of any documents from the agency, including final orders, petitions, complaints, findings of fact and conclusions of law, and any other relevant documents;
    - iii. A resume of any employment, business activities, and education since the date of the action; and
    - iv. You may also include statements from employers, business associates, clients, and others who can attest to your reputation for or record of competency, honesty, truthfulness, financial integrity, and fair dealing.
  - 2) If your application indicates a criminal conviction, you must **submit** the following:
    - A statement signed by you explaining the circumstances leading to the conviction and detailing all activities since the conviction, including employment and business involvements. Include job title, period of employment, employer's name, description of duties, training attended, and educational courses attended;
    - ii. A copy of the court order, verdict, and terms of sentence; written proof of completion and/or compliance with applicable terms of sentence, e.g., payment of fine(s) or restitution;

- iii. If applicable, a copy of the terms of probation and/or parole and a statement from your probation or parole officer as to your reputation for or record of competency, honesty, truthfulness, financial integrity, and fair dealing; and
- iv. Signed statements from employers, business associates, clients, and others who can attest to your business dealings since the conviction, including a statement as to your reputation for or record of competency, honesty, truthfulness, financial integrity, and fair dealing.
- 3) If you have any pending lawsuits, unpaid judgments, outstanding tax obligations, or any other type of involuntary liens against you, **submit** the following:
  - i. A statement signed by you explaining the circumstances and current status, and if no payment or payment arrangement has been made, the reason;
  - ii. A resume of employment and business activities; and
  - iii. Copies of the court complaint, judgment, documentation of payment arrangements, lien documents, records of any payments, and other relevant documents.